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(54) **METHODS AND APPARATUS FOR USING A MODIFIED INDEX TO PROVIDE SEARCH RESULTS IN RESPONSE TO AN AMBIGUOUS SEARCH QUERY**

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**Related U.S. Application Data**

(63) Continuation of application No. 09/748,431, filed on Dec. 26, 2000, and a continuation of application No. 09/748,833, filed on Dec. 26, 2000, now Pat. No. 6,529,903.

(60) Provisional application No. 60/216,530, filed on Jul. 6, 2000.

(51) **Int. Cl.<sup>7</sup>** ..... **G06F 17/30**

(52) **U.S. Cl.** ..... **707/10; 707/102; 709/206**

(58) **Field of Search** ..... **707/1, 3, 5, 6, 707/10, 104.1, 102, 100, 500; 709/206, 107, 332; 712/300, 200; 710/63**

(56) **References Cited**

**U.S. PATENT DOCUMENTS**

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\* cited by examiner

*Primary Examiner*—Charles L. Rones

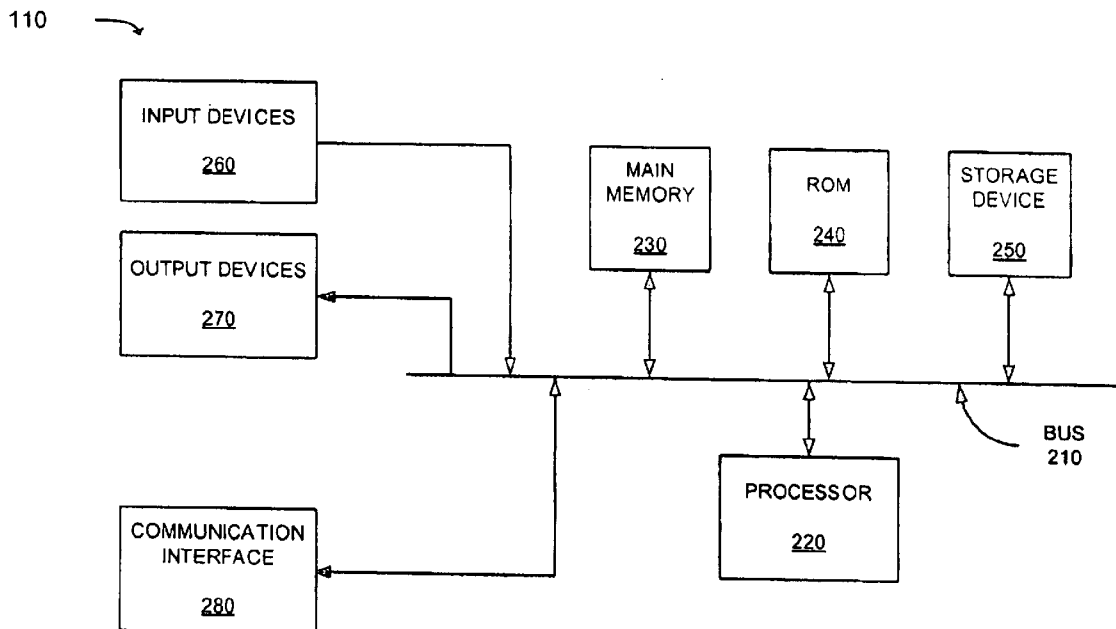
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(57) **ABSTRACT**

A system allows a user to submit an ambiguous search query and to receive potentially disambiguated search results. In one implementation, a search engine's conventional alphanumeric index is translated into a second index that is ambiguated in the same manner as which the user's input is ambiguated. The user's ambiguous search query is compared to this ambiguated index, and the corresponding documents are provided to the user as search results.

**20 Claims, 8 Drawing Sheets**



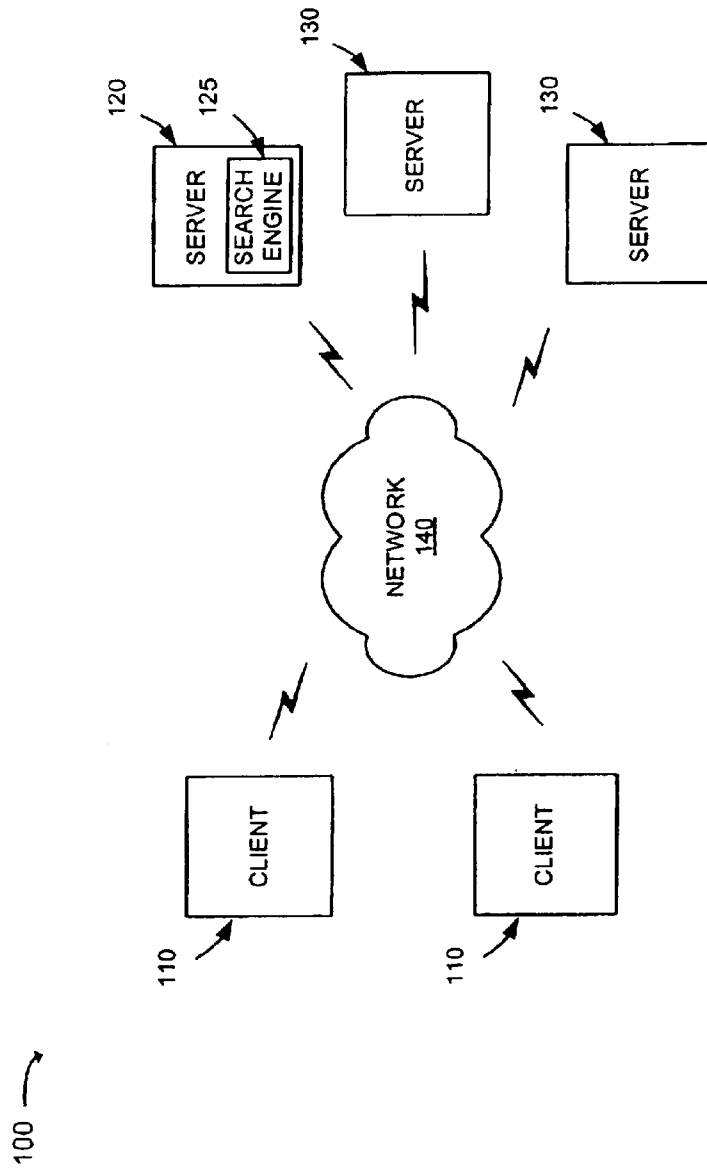


FIG. 1

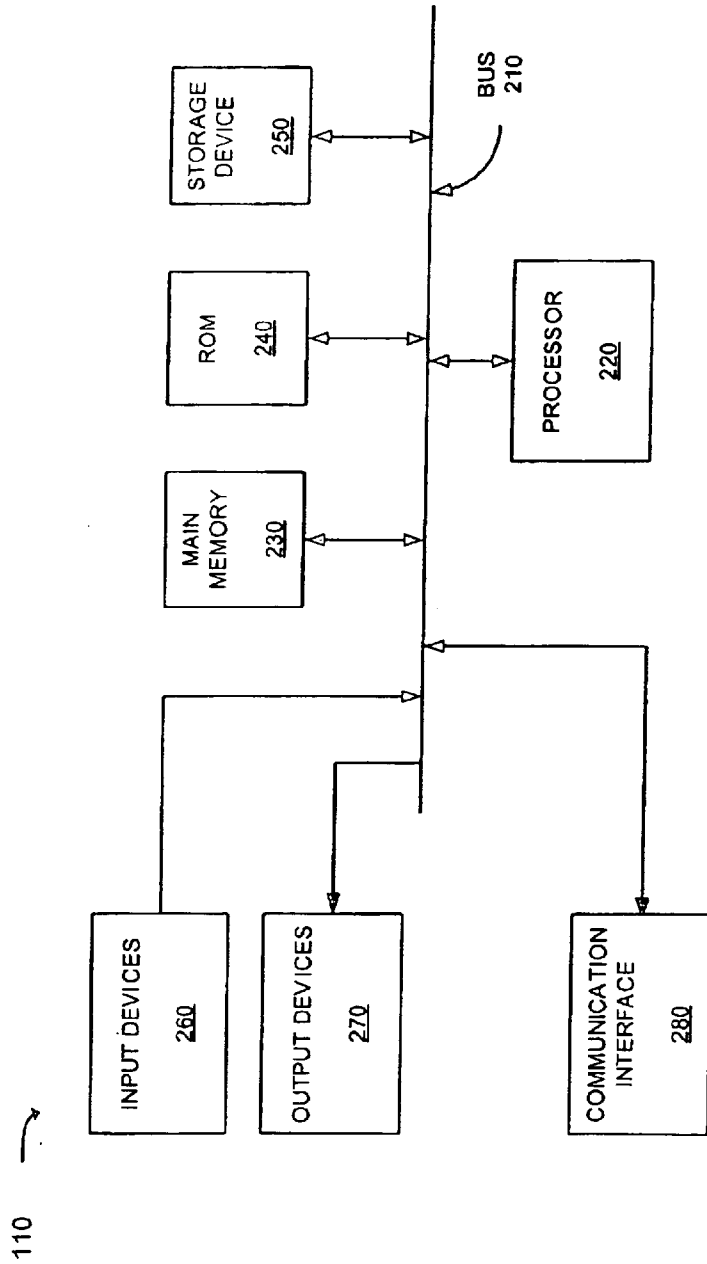


FIG. 2

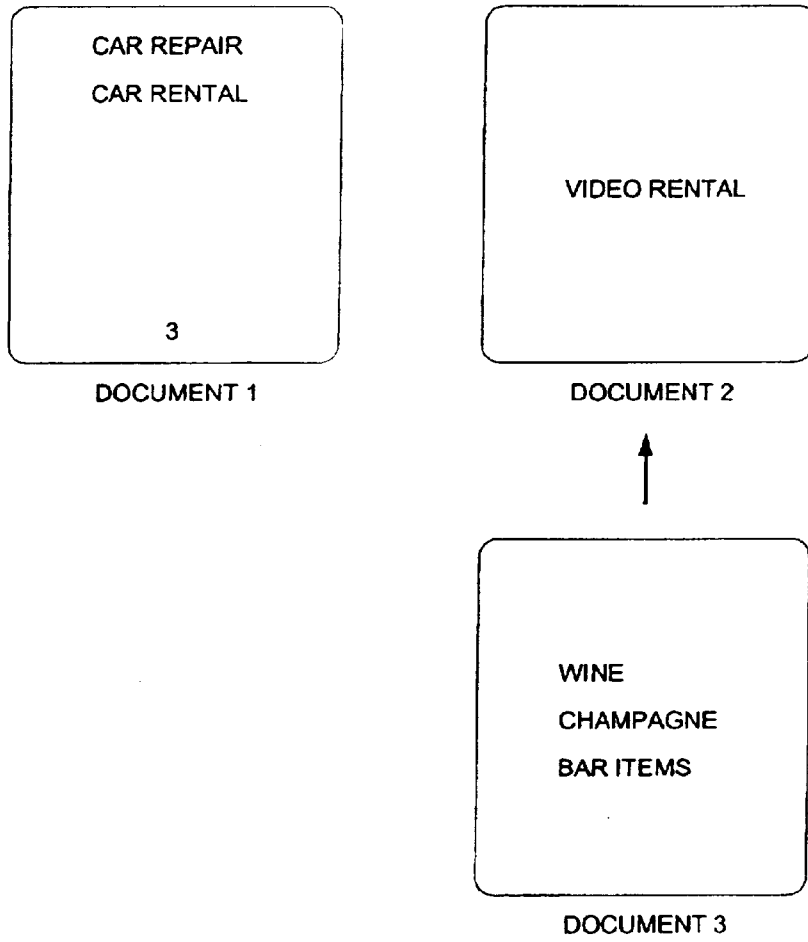


FIG. 3

TERM	LOCATION (DOCUMENT)
3	DOCUMENT 1
BAR	DOCUMENT 3
CAR	DOCUMENT 1
CHAMPAGNE	DOCUMENT 3
ITEMS	DOCUMENT 3
RENTAL	DOCUMENTS 1 AND 2
REPAIR	DOCUMENT 1
VIDEO	DOCUMENT 2
WINE	DOCUMENT 3

FIG. 4A

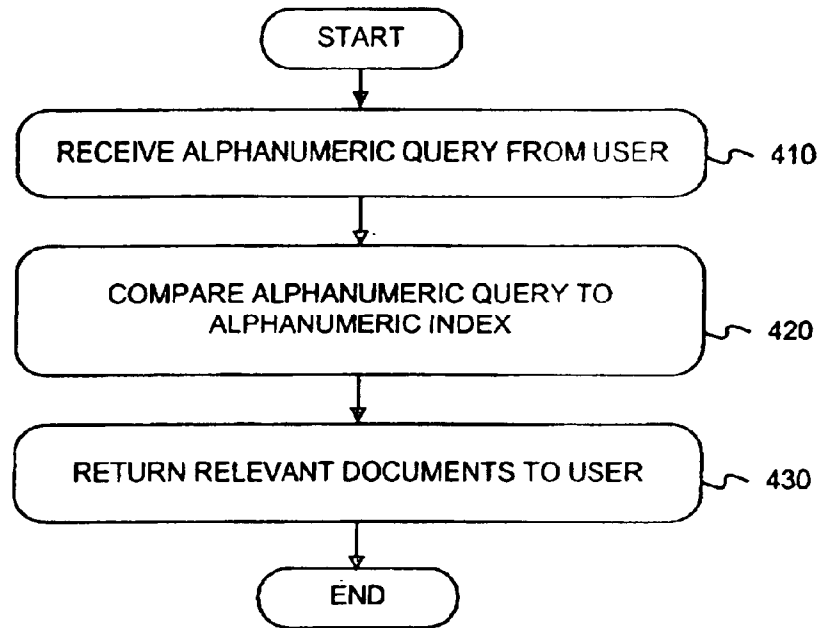


FIG. 4B

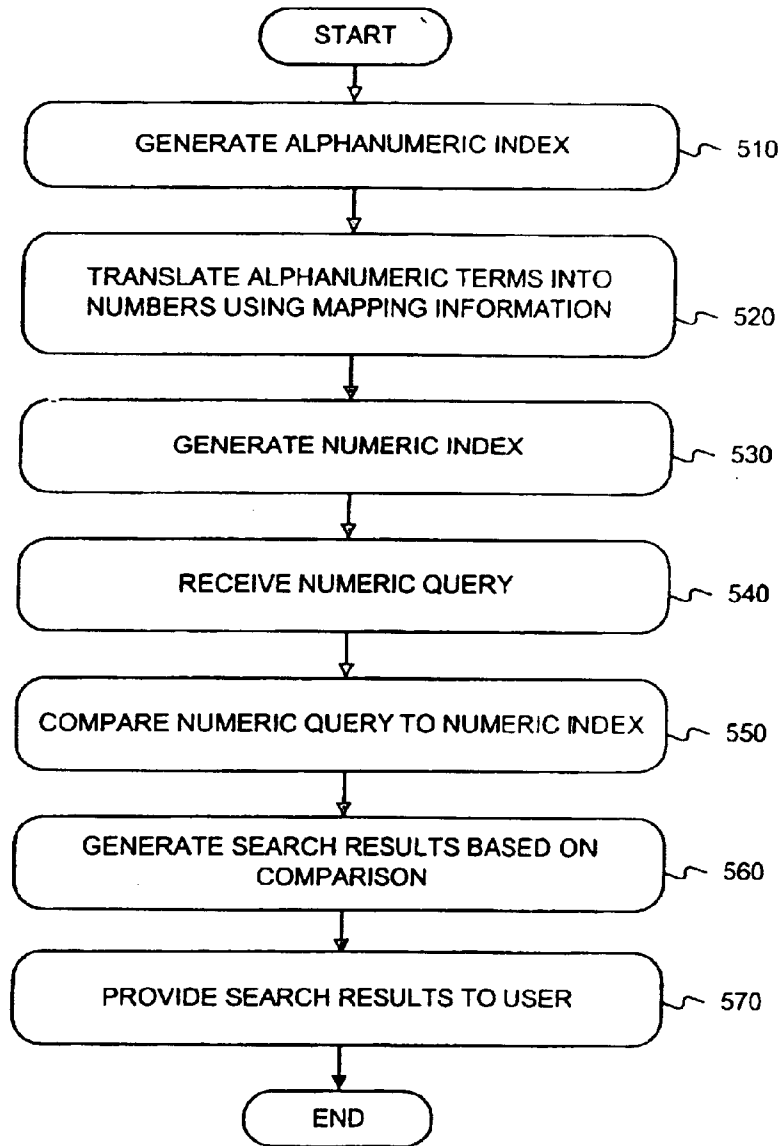


FIG. 5A

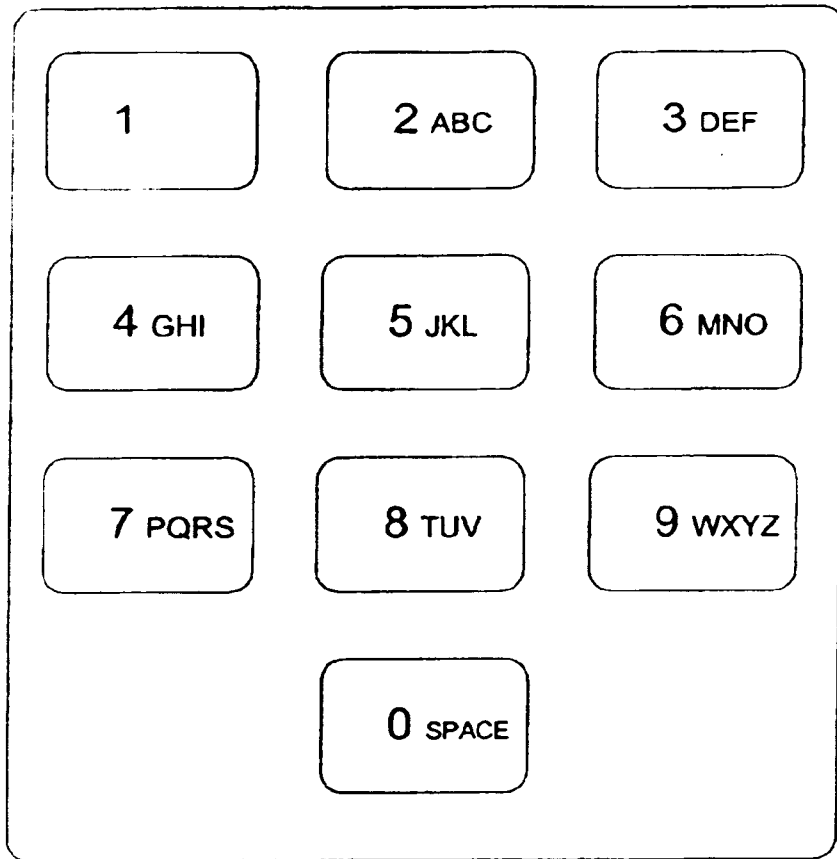


FIG. 5B



TERM	LOCATION (DOCUMENT)
3	DOCUMENT 1
227	DOCUMENTS 1 AND 3
242672463	DOCUMENT 3
48367	DOCUMENT 3
736825	DOCUMENTS 1 AND 2
737247	DOCUMENTS 1
84336	DOCUMENT 2
8463	DOCUMENT 3

FIG. 5C

**METHODS AND APPARATUS FOR USING A MODIFIED INDEX TO PROVIDE SEARCH RESULTS IN RESPONSE TO AN AMBIGUOUS SEARCH QUERY**

**RELATED APPLICATION**

This application is a continuation of, and claims priority under 35 U.S.C. § 120, to: (i) U.S. patent application Ser. No. 09/748,431, entitled "METHODS AND APPARATUS FOR PROVIDING SEARCH RESULTS IN RESPONSE TO AN AMBIGUOUS SEARCH QUERY", filed on Dec. 26, 2000 and listing Benjamin Thomas Smith, Sergey Brin, Sanjay Ghemawat and John Abraham Bauer as inventors; and (ii) U.S. patent application Ser. No. 09/748,833, entitled "METHODS AND APPARATUS FOR USING A MODIFIED INDEX TO PROVIDE SEARCH RESULTS IN RESPONSE TO AN AMBIGUOUS SEARCH QUERY", filed on Dec. 26, 2000, now U.S. Pat. No. 6,529,903, and listing Benjamin Thomas Smith, Sergey Brin, Sanjay Ghemawat and Christopher Manning as inventors, both of which applications claim priority to, and incorporate by reference, U.S. Provisional Application Ser. No. 60/216,530, entitled "DATA ENTRY AND SEARCH FOR HANDHELD DEVICES", filed on Jul. 6, 2000. Each of these three applications is incorporated herein by reference.

**BACKGROUND OF THE INVENTION**

**A. Field of the Invention**

This invention relates generally to methods and apparatus for providing search results in response to an ambiguous search query provided by a user.

**B. Description of the Related Art**

Most search engines operate under the assumption that the end user is entering search queries using something like a conventional keyboard, where the input of alphanumeric strings is not difficult. As small devices become more common, however, this assumption is not always valid. For example, users may query search engines using a wireless telephone that supports the WAP (Wireless Application Protocol) standard. Devices such as wireless telephones typically have a data input interface wherein a particular action by the user (e.g., pressing a key) may correspond to more than one alphanumeric character. A detailed description of WAP architecture is available at <http://www1.wapforum.org/tech/documents/SPEC-WAPArch-19980439.pdf> ("WAP 100 Wireless Application Protocol Architecture Specification").

In the usual case, the WAP user navigates to the search query page, and is presented with a form into which they input their search query. With conventional methods, the user may be required to press multiple keys to select a particular letter. On a standard telephone keypad, for example, the user would select the letter "b" by pressing the "2" key twice, or would select the letter "s" by pressing the "7" key four times.

Accordingly, to enter a query for "ben smith", the user would ordinarily need to enter the following string of keypresses: 223366077776444844, which map the to letters as follows:

22->b  
33->c  
66->n  
0->space  
7777->s  
6->m  
444->i

8->t  
44->h

After the user has entered their search request, the search engine receives the word or words from the user, and proceeds in much the same manner as if it had received the request from a desktop browser wherein the user employed a conventional keyboard.

As can be seen from the foregoing example, this form of data entry is inefficient in that it requires eighteen keystrokes to enter the nine alphanumeric characters (including the space) corresponding to "ben smith". Others have attempted to overcome the limitations imposed by reduced data entry devices, but each of the existing approaches has shortcomings. There remains, therefore, a need for methods and apparatus for providing relevant search results in response to an ambiguous search query.

**SUMMARY OF THE INVENTION**

Methods and apparatus consistent with the present invention, as embodied and broadly described herein, provide relevant search results in response to an ambiguous search query. Consistent with the invention, a method of providing search results to a user in response to an ambiguous search query includes generating a first index that associates (1) a first set of information of a first type with (2) documents that correspond to the first set of information. The first set of information is translated into a second set of information of a second type, based on mapping information. A second index is generated that associates, based on the mapping information, (1) the second set of information with (2) the documents. A search query of the second type is received, and search results are generated by comparing the search query to the second index. The user is then presented with these search results.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The accompanying drawings, which are incorporated in, and constitute a part of, this specification illustrate an embodiment of the invention and, together with the description, serve to explain the advantages and principles of the invention. In the drawings,

FIG. 1 illustrates a block diagram of a system in which methods and apparatus consistent with the present invention may be implemented;

FIG. 2 illustrates a block diagram of a client device, consistent with the invention;

FIG. 3 illustrates a diagram depicting three documents;

FIG. 4a illustrates a conventional alphanumeric index;

FIG. 4b illustrates a flow diagram for providing search results in response to a conventional alphanumeric search query;

FIG. 5a illustrates a flow diagram, consistent with the invention, for providing search results in response to a numeric search query;

FIG. 5b illustrates a diagram for mapping alphanumeric information to numeric information; and

FIG. 5c illustrates a numeric index corresponding to the alphanumeric index.

**DETAILED DESCRIPTION**

Reference will now be made in detail to an embodiment of the present invention as illustrated in the accompanying drawings. The same reference numbers may be used throughout the drawings and the following description to refer to the same or like parts.

**A. Overview**

Methods and apparatus consistent with the invention allow a user to submit an ambiguous search query and to

receive potentially disambiguated search results. A search engine's conventional alphanumeric index is translated into an unambiguated index using mapping information that is based on the form in which the user's input is unambiguated. The user's unambiguous search query is compared to this unambiguated index, and the corresponding documents are provided to the user as search results.

#### B. Architecture

FIG. 1 illustrates a system 100 in which methods and apparatus, consistent with the present invention, may be implemented. The system 100 may include multiple client devices 110 connected to multiple servers 120 and 130 via a network 140. The network 140 may include a local area network (LAN), a wide area network (WAN), a telephone network, such as the Public Switched Telephone Network (PSTN), an intranet, the Internet, or a combination of networks. Two client devices 110 and three servers 120 and 130 have been illustrated as connected to network 140 for simplicity. In practice, there may be more or less client devices and servers. Also, in some instances, a client device may perform the functions of a server and a server may perform the functions of a client device.

The client devices 110 may include devices, such as mainframes, minicomputers, personal computers, laptops, personal digital assistants, or the like, capable of connecting to the network 140. The client devices 110 may transmit data over the network 140 or receive data from the network 140 via a wired, wireless, or optical connection.

FIG. 2 illustrates an exemplary client device 110 consistent with the present invention. The client device 110 may include a bus 210, a processor 220, a main memory 230, a read only memory (ROM) 240, a storage device 250, an input device 260, an output device 270, and a communication interface 280.

The bus 210 may include one or more conventional buses that permit communication among the components of the client device 110. The processor 220 may include any type of conventional processor or microprocessor that interprets and executes instructions. The main memory 230 may include a random access memory (RAM) or another type of dynamic storage device that stores information and instructions for execution by the processor 220. The ROM 240 may include a conventional ROM device or another type of static storage device that stores static information and instructions for use by the processor 220. The storage device 250 may include a magnetic and/or optical recording medium and its corresponding drive.

The input device 260 may include one or more conventional mechanisms that permit a user to input information to the client device 110, such as a keyboard, a mouse, a pen, voice recognition and/or biometric mechanisms, etc. The output device 270 may include one or more conventional mechanisms that output information to the user, including a display, a printer, a speaker, etc. The communication interface 280 may include any transceiver-like mechanism that enables the client device 110 to communicate with other devices and/or systems. For example, the communication interface 280 may include mechanisms for communicating with another device or system via a network, such as network 140.

As will be described in detail below, the client devices 110, consistent with the present invention, perform certain searching-related operations. The client devices 110 may perform these operations in response to processor 220 executing software instructions contained in a computer-readable medium, such as memory 230. A computer-readable medium may be defined as one or more memory devices and/or carrier waves. The software instructions may be read into memory 230 from another computer-readable medium, such as the data storage device 250, or from another device via the communication interface 280. The

software instructions contained in memory 230 causes processor 220 to perform search-related activities described below. Alternatively, hardwired circuitry may be used in place of or in combination with software instructions to implement processes consistent with the present invention. Thus, the present invention is not limited to any specific combination of hardware circuitry and software.

The servers 120 and 130 may include one or more types of computer systems, such as a mainframe, minicomputer, or personal computer, capable of connecting to the network 140 to enable servers 120 and 130 to communicate with the client devices 110. In alternative implementations, the servers 120 and 130 may include mechanisms for directly connecting to one or more client devices 110. The servers 120 and 130 may transmit data over network 140 or receive data from the network 140 via a wired, wireless, or optical connection.

The servers may be configured in a manner similar to that described above in reference to FIG. 2 for client device 110. In an implementation consistent with the present invention, the server 120 may include a search engine 125 usable by the client devices 110. The servers 130 may store documents (or web pages) accessible by the client devices 110.

#### C. Architectural Operation

FIG. 3 illustrates a diagram depicting three documents, which may be stored for example on one of the servers 130.

A first document (Document 1) contains two entries—"car repair" and "car rental"—and is numbered "3" at its bottom. A second document (Document 2) contains the entry "video rental". A third document (Document 3) contains three entries—"wine", "champagne", and "bar items"—and includes a link (or reference) to Document 2.

For the sake of illustrative simplicity, the documents shown in FIG. 3 only contain alphanumeric strings of information (e.g., "car", "repair", "wine", etc.). Those skilled in the art will recognize, however, that in other situations the documents could contain other types of information, such as phonetic, or audiovisual information.

FIG. 4a illustrates a conventional alphanumeric index, based on the documents shown in FIG. 3. The first column of the index contains a list of alphanumeric terms, and the second column contains a list of the documents corresponding to those terms. Some terms, such as the alphanumeric term "3", only correspond to (e.g., appear in) one document—in this case Document 1. Other terms, such as "rental", correspond to multiple documents—in case Documents 1 and 2.

FIG. 4b illustrates how a conventional search engine, such as search engine 125, would use the index illustrated in FIG. 4a to provide search results in response to an alphanumeric search query. The alphanumeric query may be generated using a conventional alphanumeric keyboard or by using any conventional mechanism. Consider, for example, two alphanumeric queries: "car" and "wine". Under a conventional approach, search engine 125 receives an alphanumeric query, such as "car" (stage 410), and uses the alphanumeric index to determine which documents correspond to that query (stage 420). In this example, a conventional search engine 125 would use the index illustrated in FIG. 4a to determine that "car" corresponds to Document 1 and would return Document 1 (or a reference to it) to the user as a search result. Similarly, a conventional search engine would determine that "wine" corresponds to Document 3 and would return Document 3 (or a reference to it) to the user (stage 430).

FIG. 5a illustrates a flow diagram, consistent with the invention, of a preferred technique for providing search results in response to a numeric search query, based on the documents and index shown in FIGS. 3 and 4a, respectively. For the sake of illustrative ease, FIG. 5a describes a particular technique for generating a numeric index based on

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the mapping of a standard telephone handset; but those skilled in the art will recognize that other techniques consistent with the invention may be used.

At stage 510, an alphanumeric index is generated based on documents. For example, the index shown in FIG. 4a is generated based on the documents shown in FIG. 3. At stage 520, the alphanumeric terms in the index (i.e., in the first column) are translated into their numeric equivalents using mapping information corresponding to a standard telephone handset, shown in FIG. 5b. As shown in FIG. 5b, the letters "a", "b", and "c" each map to the number "1", the letters "p", "q", "r", and "s" each map to the number "7", and so forth. Using this mapping information, the alphanumeric term "car" is translated into the numeric term "227" ("c" is mapped to "2", "a" is mapped to "2", and "r" is mapped to "7"). Similarly, the other terms in the alphanumeric index are mapped to their numeric equivalents. As it happens, like the term "car", the term "bar" also translates to "227". "Champagne" translates to "242672463", the term "3" translates into "3", "items" to "48367", "rental" to "736825", "repair" to "737247", "video" to "84336", and "wine" to "8463".

At stage 530, a numeric index is generated based on the translated terms. An example of such a numeric index is shown in FIG. 5c. As can be seen from FIG. 5c, the numeric term "8463" corresponds to Document 3, just as did its alphanumeric equivalent "wine". Because the numeric index shown is more ambiguous than the alphanumeric index (due to the mapping technique used), the information derived from it may not be as precise as that derived from the alphanumeric index. For example, the numeric term "227" corresponds to both Document 1 and Document 3; the alphanumeric equivalent "car", however, only corresponds to Document 1; and the alphanumeric equivalent "bar" only corresponds to Document 3.

At stage 540, a numeric search query is received, such as the query "227" or the query "8463." At stage 550, the received numeric query is compared to the numeric index. Based on this comparison, search results are generated at stage 560 that identify documents that correspond to the numeric query. For example, the numeric index shown in FIG. 5c reveals that Documents 1 and 3 correspond to the numeric query "227" and that Document 3 corresponds to the numeric query "8463". At stage 570, the search results are provided to the user.

Note that due to the fact that the numeric index is more ambiguous than the alphanumeric index (e.g., both "car" and "bar" map to "227"), the search results may not be as precise as with the alphanumeric index. For example, if the user entered the alphanumeric search query "car", the alphanumeric index shown in FIG. 4a would yield Document 3 as a search result. This result is quite precise because it returns only the documents that correspond to (e.g., that contain) the term "car". A user seeking the same information with an input device having the mapping shown in FIG. 5b would obtain less precise results. If such a user sought information about "car", the user would enter "227", and would obtain both Documents 1 and 3 as search results. Document 3, however, is not relevant because it is unrelated to cars; it is returned only because it corresponds to the term "bar", which also maps to "227". In contrast, a user entering a numeric query of "8463" (corresponding to "wine") would receive as a search result only Document 3, which is the only relevant document. This is because no other documents contain an alphanumeric term that maps to "8463".

Although the description above is made in reference to mapping an alphanumeric index to a numeric index, those skilled in the art will recognize that other implementations are possible consistent with the invention. For example, in some cases the primary index used by search engine 125 may not be an alphanumeric index, but may instead be an

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index based on another type of information (such as numeric, phonetic, or audiovisual information); and the secondary index generated may not be a numeric index, but may instead be an index based on another information type.

Indeed, rather than translating a primary index to a secondary index, methods and apparatus consistent with the invention could generate a single index that contains information of the same type as that employed at the user's input device. Rather than obtaining a first set of information of a first type from a primary index, for example, this single index could be created by obtaining the first set of information of a first type directly from the documents that are to be searched.

In general, it is preferred that the mapping technique that is used to translate between the information type of the primary index (the "first information type") to the information type of the secondary index (the "second information type") be the same technique that is employed at the user's input device to map between the first information type and the second information type. There may, however, be instances where it is preferable to use a different mapping technique for the indices than is used for user input.

#### D. Conclusion

As described in detail above, methods and apparatus consistent with the invention provide tools that allow a user to display information interactively so that the user can explore the information to discover knowledge. The foregoing description of an implementation of the invention has been presented for purposes of illustration and description. Modifications and variations are possible in light of the above teachings or may be acquired from practicing the invention.

For example, although the foregoing description focuses on alphanumeric and numeric types of information, those skilled in the art will recognize that other types of information may be used consistent with the invention. Furthermore, the foregoing description describes an implementation where a separate numeric index is generated. In other implementations consistent with the invention, however, the numeric equivalents may be associated with their corresponding alphanumeric terms in a single index. Moreover, the foregoing description is based on a client-server architecture, but those skilled in the art will recognize that a peer-to-peer architecture may be used consistent with the invention. Moreover, although the described implementation includes software, the invention may be implemented as a combination of hardware and software or in hardware alone. Additionally, although aspects of the present invention are described as being stored in memory, one skilled in the art will appreciate that these aspects can also be stored on other types of computer-readable media, such as secondary storage devices, like hard disks, floppy disks, or CD-ROM; a carrier wave from the Internet; or other forms of RAM or ROM. The scope of the invention is therefore defined by the claims and their equivalents.

What is claimed is:

1. A computer implemented method executed on one or more computers for generating an index, the computer implemented method comprising:

- a) accepting a first index that associates a first set of information of a first type with documents;
- b) translating the first set of information of the first type to a second set of information of a second type; and
- c) associating the second set of information of the second type with the documents to define a second index.

2. The computer implemented method of claim 1 wherein the act of translating the first set of information of the first type to the second set of information of the second type uses a mapping.

3. The computer implemented method of claim 2 wherein the mapping maps a plurality of elements of information of the first type to an element of information of the second type.

4. The computer implemented method of claim 1 wherein the information of the first type includes a first number of elements, wherein the information of the second type includes a second number of elements, and wherein the first number of elements is greater than the second number of elements.

5. The computer implemented method of claim 1 wherein the first index includes a plurality of entries, each of the entries associating a term consisting of information of the first type, with a document.

6. The computer implemented method of claim 5 wherein the documents include information of the first type, and wherein, in an entry of the first index, the term includes information of a first type extracted from an associated document.

7. The computer implemented method of claim 1 wherein the documents include information of the first type.

8. The computer implemented method of claim 1 wherein the documents are selected from a group consisting of (A) pages from the World Wide Web, and (B) a reference to a page from the World Wide Web.

9. The computer implemented method of claim 1 wherein the information of the first type is alphanumeric information.

10. The computer implemented method of claim 9 wherein the information of the second type is selected from a group of information types consisting of (A) numeric, (B) phonetic, (C) audio, and (D) image.

11. The computer implemented method of claim 1 wherein the information of the second type is more ambiguous than the information of the first type.

12. The computer implemented method of claim 11 wherein the information of the first type can be mapped to the information of the second type with certainty, but the information of the second type can only be mapped to the information of the first type with ambiguity.

13. A computer implemented method executed on one or more computers for serving a search query, the computer implemented method comprising:

- a) accepting the search query;
- b) if the search query includes information of a first type, then
  - i) generating search results by comparing the search query to a first index including entries, each of the entries associating information of the first type with at least one document, and
  - ii) serving at least some of the generated search results; and
- c) if the search query includes information of a second type, then
  - i) generating search results by comparing the search query to a second index including entries, each of the entries associating information of the second type with at least one document, and

ii) serving at least some of the generated search results, wherein there exists a mapping between information of the first type and information of the second type.

14. The computer implemented method of claim 13 wherein the mapping maps a plurality of elements of information of the first type to an element of information of the second type.

15. The computer implemented method of claim 13 wherein the information of the first type includes a first number of elements, wherein the information of the second type includes a second number of elements, and wherein the first number of elements is greater than the second number of elements.

16. The computer implemented method of claim 13 wherein the search results generating by comparing the search query to the first index generates less results than the search results generated by comparing the search query to the second index.

17. Apparatus for serving a search query using one or more computers, the apparatus comprising:

- a) an input for accepting the search query;
  - b) means for, if the search query includes information of a first type,
    - i) generating search results by comparing the search query to a first index including entries, each of the entries associating information of the first type with at least one document, and
    - ii) serving at least some of the generated search results; and
  - c) means for, if the search query includes information of a second type,
    - i) generating search results by comparing the search query to a second index including entries, each of the entries associating information of the second type with at least one document, and
    - ii) serving at least some of the generated search results, wherein there exists a mapping between information of the first type and information of the second type.
18. The apparatus of claim 17 wherein the mapping maps a plurality of elements of information of the first type to an element of information of the second type.
19. The apparatus of claim 17 wherein the information of the first type includes a first number of elements, wherein the information of the second type includes a second number of elements, and wherein the first number of elements is greater than the second number of elements.
20. The apparatus of claim 17 wherein the search results generating by comparing the search query to the first index generates less results than the search results generated by comparing the search query to the second index.

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